

1-28-02

AP/2815

Please type a plus sign (+) inside this box →

Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



JAN 25 2002

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/912,041
Filing Date	July 24, 2001
First Named Inventor	Lingyi A. Zheng
Group Art Unit	2815
Examiner Name	
Total Number of Pages in This Submission	
Attorney Docket Number MTI-31470	

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Supplement Form 1449, cited references, and return postcard
<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

RECEIVED
JAN 31 2002

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Whyte Hirschboeck Dudek, S.C. Kristine M. Strothoff
Signature	<i>Kristine M. Strothoff</i>
Date	January 25, 2002

CERTIFICATE OF MAILING ExpressMail EL810082916US

I hereby certify that this correspondence is being deposited with the United States Postal Service as ~~first class~~ mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

Typed or printed name	<i>Jason C. Hirsch</i>
Signature	<i>Jason C. Hirsch</i>
Date	1/25/02

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



#4/Suppl. IOS
Dicing
2/26/02

Patent

Attorney Docket No.: MTI-31470

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Zheng, Lingyi A.
Serial No. : 09/912,041
Confirmation No. : 4539
Filing Date : July 24, 2001

For: Improved Cell Nitride Nucleation on Insulative Layers and Reduced Corner Leakage of Container Capacitors

CERTIFICATION UNDER 37 CFR 1.8(a) and 1.10

I hereby certify that, on the date shown below, this correspondence is being:

Mailing

deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

37 CFR 1.8(a)

37 CFR 1.10

with sufficient postage as first class mail As "Express Mail Post Office to Addressee" Mailing Label No. EL810082916US

Transmission

transmitted by facsimile to Fax No.: addressed to Examiner at the Patent and Trademark Office.

Date: 1/25, 2002

Assistant Commissioner for Patents
Washington, D.C. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with Applicant's duty of disclosure as set forth in 37 C.F.R. §1.56, listed on the attached equivalent Form PTO-1449 are those patents and other publications known to Applicant that may be considered material to the patentability of the claims of the above-identified application.

The Applicant respectfully requests that the documents listed on Form PTO-1449 be considered by the Examiner, that these references be made of record in the present applicant, and that an initialed copy of the attached Form PTO-1449 be returned to the undersigned in accordance with MPEP 609.

Respectfully submitted,

Kristine M. Strodthoff
Registration No. 34259

Dated: January 25, 2002

P.O. ADDRESS:

WHYTE HIRSCHBOECK DUKEK S.C.
111 East Wisconsin Avenue, Suite 2100
Milwaukee, Wisconsin 53202
(414) 273-2100
Customer No.: 022202